

Compliance Professionals

Get in touch on 0208 051 7900 or info@shiftf5.co.uk to find out more.

Name	Position	Skills	Location	Salary	Availability
Martin	Head of Compliance - Wealth/Asset management - Finance	<ul style="list-style-type: none"> • Over 10 years in Compliance • Expert in Governance / SMCR / Conduct Risk / Regulatory Change & Challenge • Previously registered CF10 / CF11 • Experience in Monitoring / Regulatory Consultancy / Financial Crime, AML 	London	£120k	Immediate
Jeremy	Head of Compliance - Banking - Fintech	<ul style="list-style-type: none"> • Over 8 years in Compliance • Case Analysis & Adjudication / Compliance Assurance / Client Relationship Management / Policy & Regulatory Compliance • Experience with FCA / Market Abuse / Financial Crime / Crypto Compliance / Consumer Protection / CRS/FATCA 	London	£80k	January 2024
Shabaz	Head of Compliance - Law - Banking	<ul style="list-style-type: none"> • Over 8 years in Compliance • Regulatory Compliance / Audits • Payment Service Directive & EMI • PSD & FCA Authorised / AML & CTF / KYC / CDD / EDD • Investment Administration Qualification (IAD): Securities & Investment (Pass) / FCA Regulatory Environment (Pass) 	London	£70k	Immediate
Mark	Compliance Analyst - Insurance - Property	<ul style="list-style-type: none"> • Over 6 years in Compliance • Experience with FCA • Mortgage, Protection & Insurance / Security Compliance • FCA Compliance / Banking / Client Relationship Management / Consumer Credit • Trigold / L&G Smart Fit / L&G Smart Criteria / Uinsure / Assureweb / Sort Refer 	London	£45k	4 weeks
Samuel	IT Risk Manager - Payments - Banking	<ul style="list-style-type: none"> • Over 10 years as a Risk Manager • CPD Certifications – Understanding and Managing Risk / General Data Protection / Information Security / Cybersecurity Practices for Industrial Control Systems • Maintains company certifications – PCI DSS / ISO27001 / LINK (ATM Approval) / Cyber Essentials • Set up Security Operations Centres 	Hertfordshire	£55k	8 weeks
Sarah	Compliance Officer / Manager - Transport - Law	<ul style="list-style-type: none"> • Over 10 years in Compliance • COBS / ICOBS / MCOBS • Experience in IFA / Wealth / Insurance / Mortgages • Qualifications – CF1 / CF6 / ER1 / CII / RO1 / RO5 / RO4 / CISI Global Financial Compliance (Level 1) / IGCA Certificate in Anti Money Laundering (Level 3) 	Leeds	£40k	4 weeks
James	Compliance Manager / Head of Compliance - Payments - Fintech	<ul style="list-style-type: none"> • Over 10 years in Compliance • Experience with FCA, PSR & DNB • Certified ICA Compliance • Security Fraud / Risk / Regulations / Banking / Consumer Credit 	Birmingham	£65k	4 weeks